**PHASE 2 RESEARCH ASSESSMENT   
(Completed by Plan Owners)**

This tool provides faculty and independent researchers (“Plan Owners”) with a consistent approach for meeting the assessment required by the State of California and guided by [California’s statewide industry guidance](https://covid19.ca.gov/industry-guidance/).

Plan Owners should complete the below assessment and include it when submitting their Phase 2 research transition plans (“Plans”) to the appropriate dean or SRP/ORU director (“Authorized Official”) for approval. Plans utilizing layered tactics, such as combining physical distancing, frequent hand washing, consistent face covering use, and symptom self-screening practices may lower the potential for virus transmission.

Please complete this assessment by providing the requested information and checking the most appropriate/applicable box. **Plan Owners should include any additional information about their assessment and planned protective measures in the Plan submitted to their Authorized Official(s).**

**Name of person completing this assessment:** Click or tap here to enter text.

**Research program/lab to which this assessment applies:** Click or tap here to enter text.

**RESEARCH SPACE & PHYSICAL DISTANCING**

**Exact location(s) of research program/lab space:** Click or tap here to enter text.

After reviewing the lay out of the space(s) to be used in Phase 2 research activities, the research program’s standard operating procedures and safety protocols, [UCI’s guidance for working alone in the lab](https://www.ehs.uci.edu/programs/labres/Working%20Alone%20Reference%20Guide.pdf), [EH&S COVID-19 Learning Resources and Posters](https://www.ehs.uci.edu/PublicHealth/covid-19/resources.html), [Checklist for Phase 2 Research Activities](https://www.ehs.uci.edu/PublicHealth/covid-19/Labs%20Returning%20to%20Work.pdf), the [General Points of Consideration – Planning the Phased Increased of UCI’s On-Site Research Activities](https://news.research.uci.edu/research-continuity/research-ramp-up/points-of-consideration/), and the [Guiding Principles contained in the Vice Chancellor’s May 11 message](https://news.research.uci.edu/research-continuity/research-ramp-up/points-of-consideration/):

No modifications, adjustments, and/or safety precautions over and above the campus minimum requirements are required to minimize the potential for virus transmission.

Plan Owner will implement modifications, adjustments and/or safety precautions to minimize the potential for virus transmission.

It is not possible to minimize potential for virus transmission – Plan Owner will reassess these activities later for Phase 3 research.

**DISINFECTING SURFACES, EQUIPMENT & INSTRUMENTATION**

After reviewing the research program’s current disinfecting procedures, the [Cleaning Procedures for General Laboratories in Response to COVID-19](https://www.ehs.uci.edu/PublicHealth/covid-19/Lab%20Cleaning%20Guidance.pdf), [EH&S COVID-19 Learning Resources and Posters](https://www.ehs.uci.edu/PublicHealth/covid-19/resources.html), [Checklist for Phase 2 Research Activities](https://www.ehs.uci.edu/PublicHealth/covid-19/Labs%20Returning%20to%20Work.pdf), the [General Points of Consideration – Planning the Phased Increased of UCI’s On-Site Research Activities](https://news.research.uci.edu/research-continuity/research-ramp-up/points-of-consideration/), and the [Guiding Principles contained in the Vice Chancellor’s May 11 message](https://news.research.uci.edu/research-continuity/research-ramp-up/points-of-consideration/):

No modifications, adjustments, and/or safety precautions over and above the campus minimum requirements are required to minimize the potential for virus transmission.

Plan Owner will implement modifications, adjustments and/or safety precautions to minimize the potential for virus transmission.

It is not possible to minimize potential for virus transmission – Plan Owner will reassess these activities later for Phase 3 research.

**PERSONNEL HYGIENE & SELF-SCREENING FOR SYMPTOMS**

After reviewing current hygiene procedures for this research program, [UCI’s face coverings protocol](https://www.ehs.uci.edu/PublicHealth/covid-19/Face%20Covering%20Guidance.pdf), , [EH&S COVID-19 Learning Resources and Posters](https://www.ehs.uci.edu/PublicHealth/covid-19/resources.html), [Checklist for Phase 2 Research Activities](https://www.ehs.uci.edu/PublicHealth/covid-19/Labs%20Returning%20to%20Work.pdf), the [General Points of Consideration – Planning the Phased Increased of UCI’s On-Site Research Activities](https://news.research.uci.edu/research-continuity/research-ramp-up/points-of-consideration/), and the [Guiding Principles contained in the Vice Chancellor’s May 11 message](https://news.research.uci.edu/research-continuity/research-ramp-up/points-of-consideration/):

No modifications, adjustments, and/or safety precautions over and above the campus minimum requirements are required to minimize the potential for virus transmission.

Plan Owner will implement modifications, adjustments and/or safety precautions to minimize the potential for virus transmission.

It is not possible to minimize potential for virus transmission – Plan Owner will reassess these activities later for Phase 3 research.

**RESEARCH TEAM COMMUNICATION & TRAINING**

After reviewing the current communication procedures and protocols for this research program, [Checklist for Phase 2 Research Activities](https://www.ehs.uci.edu/PublicHealth/covid-19/Labs%20Returning%20to%20Work.pdf), the [General Points of Consideration – Planning the Phased Increased of UCI’s On-Site Research Activities](https://news.research.uci.edu/research-continuity/research-ramp-up/points-of-consideration/), and the [Guiding Principles contained in the Vice Chancellor’s May 11 message](https://news.research.uci.edu/research-continuity/research-ramp-up/points-of-consideration/):

No modifications and/or adjustments are required to help ensure that team members receive timely, informative communications regarding the Phase 2 research plan or information about UCI-wide guidance implementation at the research team level.

Plan Owner will implement modifications and/or adjustments to help ensure that team members receive timely, informative communications regarding the Phase 2 research plan and information about UCI-wide guidance implementation at the research team level.

Not applicable – Plan Owner will reassess these activities later for Phase 3 research.

**UNIQUE CIRCUMSTANCES**

After conducting the above assessments, carefully contemplating the [Checklist for Phase 2 Research Activities](https://www.ehs.uci.edu/PublicHealth/covid-19/Labs%20Returning%20to%20Work.pdf), the [General Points of Consideration – Planning the Phased Increased of UCI’s On-Site Research Activities](https://news.research.uci.edu/research-continuity/research-ramp-up/points-of-consideration/), the [Guiding Principles contained in the Vice Chancellor’s May 11 message](https://news.research.uci.edu/research-continuity/research-ramp-up/points-of-consideration/), and considering the nature and needs of the research program:

No unique circumstances exist.

Unique circumstances exist for which additional modifications, adjustments and/or safety precautions over and above the campus minimum requirements are required to minimize the potential for virus transmission.

Unique circumstances exist, but even with additional modifications, adjustments and/or safety precautions it is not possible to minimize the potential for virus transmission – Plan Owner will reassess these activities later for Phase 3 research.