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	The Changing Impact of El Niño on US Winter Temperatures
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ABSTRACT

46 In this study, evidence is presented from statistical analyses, numerical model 47 experiments, and case studies to show that the impact on US winter temperatures is 48 different for the different types of El Niño. While the conventional Eastern-Pacific El 49 Niño affects winter temperatures primarily over the Great Lakes, Northeast, and 50 Southwest US, the largest impact from Central-Pacific El Niño is on temperatures in the 51 northwestern and southeastern US. The recent shift to a greater frequency of occurrence 52 of the Central-Pacific type has made the Northwest and Southeast regions of the US most 53 influenced by El Niño. It is shown that the different impacts result from differing wave 54 train responses in the atmosphere to the sea surface temperature anomalies associated 55 with the two types of El Niño.

57 1. Introduction

58 The increasing recognition that there are two different flavors or types of El Niño 59 events (e.g., Wang and Weisberg 2000; Trenberth and Stepaniak 2001; Larkin and 60 Harrison 2005a, b; Yu and Kao 2007; Ashok et al. 2007; Kao and Yu 2009; Kug et al. 61 2009) offers the research community a new way to consider interannual sea surface 62 temperature (SST) variability in the tropical Pacific and to rethink how the type of El 63 Niño and its impacts may change as the climate changes. While El Niño is traditionally 64 recognized as a warming of the sea surface in the eastern-to-central equatorial Pacific, it 65 has been noticed that El Niño events with warming confined to the international dateline 66 region can also occur. This flavor or type of El Niño has been referred to as the Central 67 Pacific (CP) El Niño (Yu and Kao 2007; Kao and Yu 2009), Date Line El Niño (Larkin 68 and Harrison 2005a), El Niño Modoki (Ashok et al. 2007), or warm pool El Niño (Kug et 69 al. 2009), while the conventional El Niño is referred to as the Eastern-Pacific (EP) type 70 (Yu and Kao 2007; Kao and Yu 2009). During the past few decades, more of the El Niño 71 events have been of the CP type (Ashok et al. 2007; Kao and Yu 2009; Kug et al. 2009; 72 Lee and McPhaden 2010). Moreover, since the start of the 21st century, most of the El 73 Niño events have been of the CP type, including the 2002/03, 2004/05, and 2009/10 74 events. The tropical Pacific seems to be entering a state in which the preferred flavor of 75 El Niño is the CP type.

76

The El Niño impact on US winter temperatures is traditionally characterized as a north-south dipole pattern, in which warmer-than-normal temperatures are found over the northern states and colder-than-normal temperatures over the southern states (e.g., Ropelewski and Halpert 1986). However, the classical view of El Niño impacts on the 81 United States (US) climate does not consider the existence of different types of El Niño. 82 Therefore we may raise a question: How is the emergence of the CP El Niño going to 83 change the El Niño impact on US winter temperatures, which has important socio-84 economic implications? The atmospheric response to tropical sea surface temperature 85 (SST) anomalies can be sensitive to their exact locations (e.g., Mo and Higgins 1998; 86 Hoerling and Kumar 2002; Alexander et al. 2002; Basugli and Sardeshmukh 2002; 87 DeWeaver and Nigam 2004). The classical view of the El Niño impact on the US may be 88 a mixture of the impacts from the EP and the CP El Niños that may evolve as El Niño 89 characteristics change on multi-decadal and longer time scales (e.g. Mo 2010). The 90 possibly different impacts produced by these two types of El Niño can be a source of 91 uncertainty in the prediction of El Niño impacts on US climate. The specific region of the 92 US that is most vulnerable to the influence of each type of El Niño has yet to be 93 examined. In this study, we conduct statistical analyses with observational data, 94 numerical experiments with a forced atmospheric general circulation model (AGCM), 95 and case studies with major El Niño events since 1950 to show that the impacts produced 96 by the CP and EP types of El Niño on US winter temperatures are very different from the 97 classical view and that the El Niño impacts are indeed changing.

98

99 **2. Data and analysis methods**

For the observational analyses, SSTs from National Oceanic and Atmospheric Administration (NOAA)'s Extended Reconstructed Sea Surface Temperature (ERSST) V3b dataset (Smith and Reynolds 2003) and surface air temperatures and 500mb geopotential heights from National Centers for Environmental Prediction–National Center for Atmospheric Research (NCEP–NCAR) Reanalysis (Kistler et al. 2001) were used. Monthly SST, surface air temperature, and 500mb geopotential height anomalies
from 1950 to 2010 were analyzed. In this study, anomalies are defined as the deviations
from the 1971-2000 climatology.

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109 A regression-Empirical Orthogonal Function (EOF) analysis (Kao and Yu 2009; 110 Yu and Kim 2010) is used to identify the CP and EP types of El Niño from the monthly 111 SST data. In this method, the SST anomalies regressed with the Niño1+2 (0° -10°S, 112 80°W-90°W) SST index were removed before the EOF analysis was applied to obtain the 113 spatial pattern of the CP El Niño. The regression with the Niño1+2 index was used as an 114 estimate of the influence of the EP El Niño and was removed to better reveal the SST 115 anomalies associated with the CP El Niño. Similarly, we subtracted the SST anomalies 116 regressed with the Niño4 (5°S-5°N, 160°E-150°W) index (i.e., representing the influence 117 of the CP El Niño) before the EOF analysis was applied to identify the leading structure 118 of the EP El Niño. The leading EOF modes obtained from this analysis represent the 119 typical SST anomaly patterns of these two types of El Niño and the associated principal 120 components represent the El Niño strengths and are defined as the CP El Niño index and 121 the EP El Niño index, respectively.

122

123 **3. Results**

By separately regressing winter (January-February-March; JFM) surface air temperature anomalies to the EP and CP El Niño indices, we show in Figures 1a-1b that the El Niño impacts on US winter temperatures are different between these two types. Neither of the impacts resembles the classical warm-north, cold-south anomaly pattern. During EP El Niño events, positive winter temperature anomalies are concentrated 129 mostly over the northeastern part of the US (particularly over the Great Lakes region) and 130 negative anomalies are most obvious over the southwestern states. During CP El Niño 131 events, the warm anomalies are located in northwestern US and the cold anomalies are 132 centered in the southeastern US. The US temperature impact patterns are rotated by about 133 90 degrees between these two types of El Niño. We note that adding these two impact 134 patterns together results in a pattern that resembles the classical warm-north, cold-south 135 pattern. It indicates that the classical impact view is a mixture of the impacts of the two 136 types of El Niño. We also repeated the regression analysis with a surface air temperature 137 anomaly data set from the Climate Anomaly Monitoring System (CAMS; Ropelewski et 138 al. 1984) from the Climate Prediction Center of the NCEP. The CAMS air temperature 139 is on a $2.0^{\circ} \times 2.0^{\circ}$ grid and available from 1950 onward. As shown in Figures 1c-1d, the 140 results are similar to those produced with the NCEP-NCAR reanalysis.

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142 To further confirm that the different impacts revealed by the regression analysis 143 are due to the different SST forcing from the two types of El Niño, forced experiments 144 were performed with version 4 of the Community Atmosphere Model (CAM4) from 145 NCAR. Three sets of ensemble experiments were conducted with a T42 (64x128) Euler 146 spectral resolution of CAM4: a control run, an EP run, and a CP run. In the control run, 147 climatological and annually-cycled SSTs are used as the boundary condition to force 148 CAM4. For the EP (CP) run, the CAM4 is forced by SSTs constructed by adding together 149 the climatological SSTs and the SST anomalies of the EP (CP) El Niño. For each of the 150 runs, a 10-member ensemble of 22-month integrations was conducted with the El Niño 151 SST anomalies evolved from the developing phase, peak phase, to decaying phase. The 152peak phases of the SST anomalies were placed in December of Year 1 of each member. 153 The SST anomalies used in the experiments were constructed by regressing tropical 154 Pacific SST (20°S-20°N) anomalies to the EP and CP El Niño indices and then scaled to 155 typical El Niño magnitudes (shown in Figure 2). During the typical evolution of an EP El 156 Niño event, warm SST anomalies first appear south of the equator, near the South 157 American coast, then extend northward toward the equatorial cold tongue, and eventually 158 spread westward into the central equatorial Pacific. As for a typical CP El Niño event, the 159 warming appears first in the northeast subtropical Pacific and then extends into the 160 central equatorial Pacific. After SST anomalies have been established at the equator, the 161 warming intensifies rapidly with the anomalies extending eastward, but remaining 162 detached from the South American Coast.

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164 The impacts produced by the EP and CP types of El Niño in the model 165 experiments were identified by subtracting the ensemble mean of the control run from the 166 ensemble means of the EP and CP runs (Figure 3). It is very encouraging to find that the 167 regressed winter US impact patterns produced by the EP and CP types of El Niño in the 168 observations were reproduced in the forced model experiments. The CAM4 model 169 produces a warm-northeast, cold-southwest anomaly pattern in surface air temperatures 170 when the model is forced by SST anomalies of the EP El Niño. The same model produces 171 a warm-northwest, cold-southeast anomaly pattern when it is forced by the SST 172 anomalies of the CP El Niño. The centers of the winter temperature anomalies coincide 173 reasonably well with the regression results based on observations (Figure 1).

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175 To further demonstrate the robustness of the different impacts obtained with the 176 regression analysis and the model experiments, we also examined event-by-event the US

177 winter temperature anomalies observed during all major El Niño events since 1950. Here, 178 the El Niño events were selected based on NOAA's criterion that the Ocean Niño Index 179(ONI) be greater than or equal to 0.5°C for a period of at least five consecutive and 180 overlapping three-month seasons. A total of 21 events are identified based on the ONI 181 index and are listed in Table 1. We then determined the type of these 21 El Niño events 182 based on the consensus of three identification methods, which include the EP/CP-index 183 method of Kao and Yu (2009), the Niño method of Yeh et al. (2009), and the El Niño 184 Modoki index (EMI) method of Ashok et al. (2007). Using the EP/CP-index method, the 185 events in Table 1 were classified as CP types when the December-January-February 186 (DJF)-averaged values of the CP index were greater than that of the EP index, and vice 187 versa for EP types. With the Niño method, El Niño events were classified as CP (EP) 188 types when the DJF-averaged values of the Niño4 index were greater (less) than the 189 averaged values of the Niño3 index. With the EMI method, El Niño events were 190 considered to be the CP type when the values of the DJF averaged EMI were equal to or 191 greater than 0.7STD. Here STD is the DJF standard deviation (0.46) of the EMI. To 192 maintain consistency in the analyses, the identification of El Niño types by the EMI 193 method were based on the DJF averages, although Ashok et al. (2007) used both June-194 July-August-September (JJAS) and DJF averages.

195

According to the majority consensus of Table 1, eight of the 21 major El Niño events are of the EP type, and thirteen of them are of the CP type. Figure 4 shows the US winter (JFM) temperature anomalies during these two groups of El Niño events. Since US winter temperatures can be affected by factors other than El Niño (e.g., remote forcing from SST variations in the Atlantic Ocean, local land surface processes, and the 201 internal dynamics of the atmosphere), the impact patterns of El Niño on US temperatures 202 should be more detectable during strong El Niño events than weak events. Therefore, we 203 display the US winter temperature anomalies in Figure 4 in order from the strongest to 204 the weakest events. The intensity of the events are determined based on the value of the 205 Niño3 (Niño4) SST index for the EP (CP) El Niño. For the EP El Niños, the warm-206 northeast, cold-southwest impact pattern on US winter temperatures can be identified in 207 the four strongest events, which include the 1997, 1982, 1972, and 1986 El Niño events. 208 For the CP El Niño, the warm-northwest, cold-southeast impact pattern can be identified 209 in four of the top five strongest events: the 2009, 1957, 2002, and 2004 events, a group that includes most of the El Niño events in the 21st century. The event-by-event 210 211 examination presented here further demonstrates that the EP and CP types of El Niño 212 produce different impacts on US winter temperatures.

213

214 Why would these two types of El Niño produce different impacts on US winter 215 temperatures? A regression analysis with the EP and CP El Niño indices reveals that in 216 association with CP El Niño events (Figure 5a), the winter atmosphere produces an 217 anomaly pattern of 500mb geopotential height that resembles the Pacific/North American 218 teleconnection (PNA; Wallace and Gultzer 1981) pattern. This pattern consists of a 219 positive anomaly center extending from eastern Alaska to northwestern US and a 220 negative anomaly center over southeastern US, resulting in a warm-northwest, cold-221 southeast pattern of temperature anomalies. However, such a PNA-like pattern does not 222 appear in the winter atmosphere during EP El Niño events (Fig. 5b). The anomaly pattern 223 of the 500mb geopotential heights in this case is characterized by a poleward wave train 224 emanating from the tropical eastern Pacific, across the southwestern US, and into the 225 northeastern US, leading to the cold-southwest, warm-northeast pattern in US winter 226 temperatures. These anomaly patterns of the atmospheric response are further confirmed 227 in the EP and CP runs conducted with the CAM4 model. As shown in Figs. 5c and d, 228 when the CAM4 model is forced by CP El Niño anomalies, the winter atmosphere 229 produces a PNA anomaly pattern in 500mb geopotential heights, but a poleward wave 230 train is produced when the model is forced by the EP El Niño. To further verify that the 231 impact of the CP El Niño on US winter temperatures is truly associated with the PNA 232 pattern, we also calculated the regression of the US winter temperatures to the PNA index 233 (downloaded at http://www.cpc.ncep.noaa.gov/products/precip/CWlink/pna/pna.shtml) 234 using both the NCEP-NCAR reanalysis and the CAMS dataset and found the regression 235 pattern (see supplementary Figure S1) similar to the pattern shown in Figure 5a. Also, the 236 correlation coefficient between the PNA index and the CP Index is larger (i.e., 0.43 for 237 JFM means) than that between the PNA index and the EP Index (i.e, 0.24).

238

239 **4. Conclusions**

240 We have demonstrated that the EP and CP types of El Niño have different impacts 241 on US winter surface air temperatures and have identified the regions of the US that are 242 most sensitive to each type of El Niño. Based on this view, the recent emergence of the 243 CP type of El Niño implies that the impact of El Niño on US winter temperature could 244 become more pronounced over the northwestern and southeastern US than any other part 245 of the country. Our results refine the classical view of El Niño impact and provide a 246 framework for more accurate predictions of its effects on the US. Our findings also have 247 important implications on how the El Niño will influence US climate in the future, should 248 the occurrence of the CP type of El Niño continue to rise in response to climate change

- 249 (Yeh et al. 2009; Kim and Yu 2012).
- 250
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Figure 1. Observed US winter (January-February-March) surface air temperature anomalies regressed onto the EP (left panels) and CP (right panels) El Niño indices. Observations correspond to the NCEP-NCAR reanalysis (a-b) and the CAMS air temperature (c-d) data set. Regression coefficients significant at the 90% confidence level based on the student-t test are shaded. Schematic diagrams (e-f) of the EP and CP El Niño impacts on US winter surface air temperatures are also shown.

- Figure 2. SST anomalies regressed onto the (a) EP and (b) CP El Niño index, from 11 months before to 11 months after the peak of the index. The values shown are the regression coefficients scaled by a factor of 4.5. Contour intervals are $0.5\Box C$.
- 322 Figure 3. Results from the forced model experiments showing winter (JFM) near-surface
- 323 air temperature differences between the (a) ensemble mean of the EP run and that of the
- 324 control run and (b) ensemble mean of the CP run and that of the control run. Contour
- 325 intervals are $0.5\Box C$. Only the differences that are statistically significant (at the 90%)
- 326 level) based on the student-t test are colored.
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- Figure 5. Observed anomalies of 500mb geopotential heights (contours) and surface air temperatures (color shade) regressed with the (a) CP and (b) EP indices, and the JFMaveraged near-surface air temperature and 500mb geopotential height differences (c) between the ensemble means of the CP run and the control run and (d) between the ensemble mean of the EP run and that of the control run.

336	Table 1. Major El Niño events since 1950 and their types identified by the majority
337	consensus from the EP/CP-Index method, the Niño method, and the EMI method.

		Туре			
	El Niño Years	EP/CP	Niño3/4	EMI	Concensus
		method	method	method	Consensus
1	1951-52	EP	EP	EP	EP
2	1953-54	СР	СР	EP	СР
3	1957-58	СР	EP	CP	СР
4	1958-59	СР	CP	CP	СР
5	1963-64	СР	CP	CP	СР
6	1965-66	СР	EP	CP	СР
7	1968-69	СР	СР	CP	СР
8	1969-70	СР	EP	EP	EP
9	1972-73	EP	EP	EP	EP
10	1976-77	EP	EP	EP	EP
11	1977-78	СР	CP	CP	СР
12	1982-83	EP	EP	EP	EP
13	1986-87	СР	EP	EP	EP
14	1987-88	СР	СР	EP	СР
15	1991-92	СР	EP	CP	СР
16	1994-95	СР	СР	CP	СР
17	1997-98	EP	EP	EP	EP
18	2002-03	СР	EP	CP	СР
19	2004-05	СР	CP	CP	СР
20	2006-07	EP	EP	EP	EP
21	2009-10	СР	CP	СР	СР

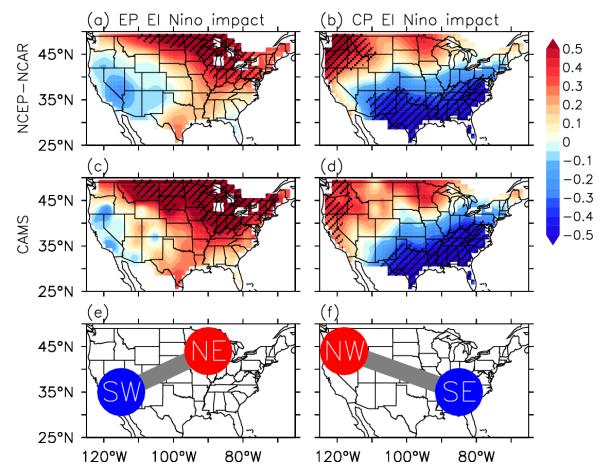


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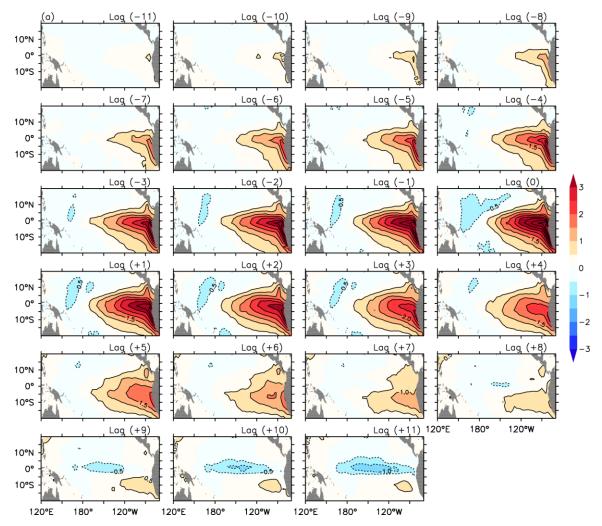
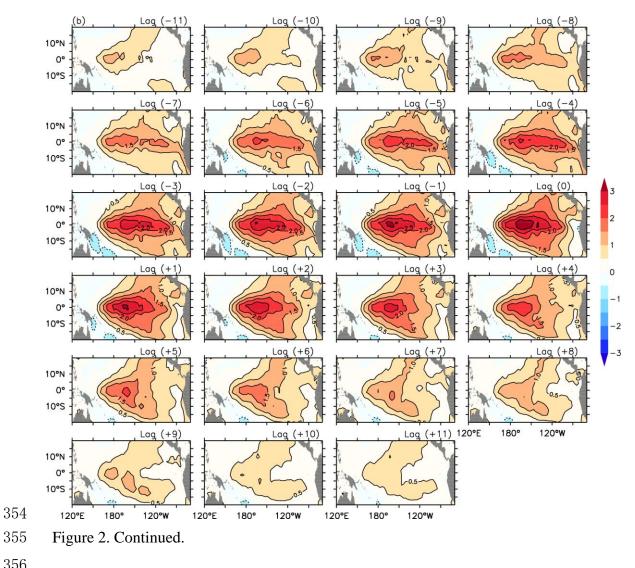


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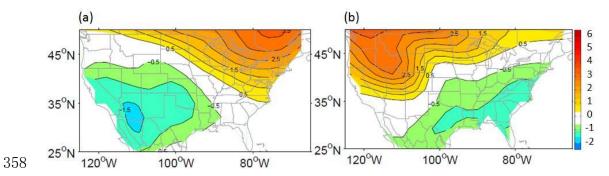


Figure 3. Results from the forced model experiments showing winter (JFM) near-surface air temperature differences between the (a) ensemble mean of the EP run and that of the control run and (b) ensemble mean of the CP run and that of the control run. Contour intervals are 0.5°C. Only the differences that are statistically significant (at the 90% level) based on the student-t test are colored.

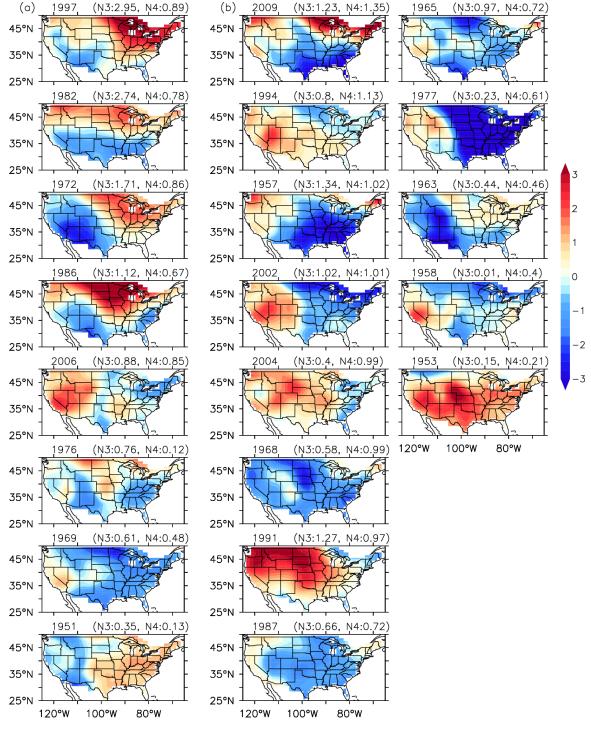


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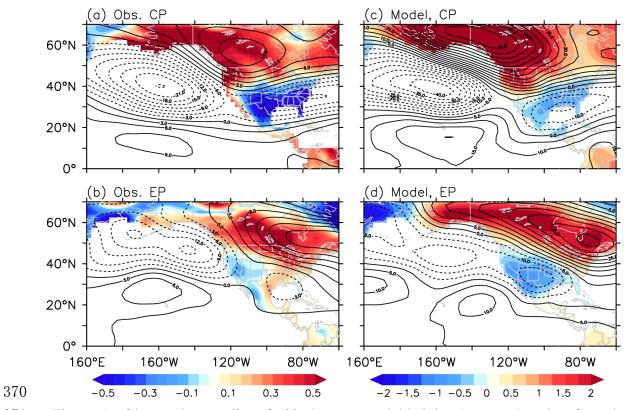


Figure 5. Observed anomalies of 500mb geopotential heights (contours) and surface air temperatures (color shade) regressed with the (a) CP and (b) EP indices, and the JFMaveraged near-surface air temperature and 500mb geopotential height differences (c) between the ensemble means of the CP run and the control run and (d) between the ensemble mean of the EP run and that of the control run.