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**The Enhanced Drying Effect of Central-Pacific El Niño on US Winter**

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22 **ABSTRACT**

23 In what is arguably one of the most dramatic phenomena possibly associated with  
24 climate change or natural climate variability, the location of El Niño has shifted more to  
25 the central Pacific in recent decades. In this study, we use statistical analyses, numerical  
26 model experiments, and case studies to show that the Central-Pacific El Niño enhances  
27 the drying effect, but weakens the wetting effect, typically produced by traditional  
28 Eastern-Pacific El Niño events on the US winter precipitation. As a result, the emerging  
29 Central-Pacific El Niño produces an overall drying effect on the US winter, particularly  
30 over the Ohio-Mississippi Valley, Pacific Northwest, and Southeast. The enhanced drying  
31 effect is related to a more southward displacement of tropospheric jet streams that control  
32 the movements of winter storms. Results from this study imply that the emergence of the  
33 Central-Pacific El Niño in recent decades may be one factor contributing to the recent  
34 prevalence of extended droughts in the US.

35

## 36 **1. Introduction**

37           The climate in the United States (US) is significantly influenced by El Niño  
38 events in the tropical Pacific (e.g., Ropelewski and Halpert 1986; 1989, Kiladis and Diaz  
39 1989; Livezey et al. 1997; Dettinger et al. 1998; Mo and Higgins 1998; Montroy et al.  
40 1998; Cayan et al. 1999; Larkin and Harrison 2005b; and many others). The influences  
41 on the winter climate are often described as a seesaw pattern as the northern US tends to  
42 be warmer and drier than normal while the southern US tends to be colder and wetter  
43 than normal. However, the recent recognition of the existence of two types of El Niño  
44 (Wang and Weisberg 2000; Trenberth and Stepaniak 2001; Larkin and Harrison 2005a;  
45 Yu and Kao 2007; Ashok et al. 2007; Guan and Nigam 2008; Kao and Yu 2009; Kug et  
46 al. 2009) has prompted efforts to refine this classical view and to differentiate the impacts  
47 according to the El Niño type. The two different El Niño types that have recently been  
48 emphasized are the Eastern-Pacific (EP) El Niño and the Central-Pacific (CP) El Niño  
49 (Yu and Kao 2007; Kao and Yu 2009). EP El Niño events are characterized by sea surface  
50 temperature (SST) anomalies extending along the equator westward from the South  
51 American Coast, while the CP El Niño events are characterized by SST anomalies mostly  
52 confined to a region near the equator around the international dateline. While the EP type  
53 used to be considered the conventional type of El Niño, the CP type has occurred more  
54 frequently in the past few decades (Ashok et al. 2007; Kao and Yu 2009; Kug et al. 2009;  
55 Lee and McPhaden 2010; Yu et al. 2012a). The shift in the location of the SST anomalies  
56 can lead to different atmospheric responses (Kumar and Hoerling 1995; Mo and Higgins  
57 1998; Hoerling and Kumar 2002; Basugli and Sardeshmukh 2002; DeWeaver and Nigam  
58 2004) to these two types of El Niño and result in different impacts on US climate.

59

60           Two recent studies (Mo 2010 and Yu et al. 2012b), for example, have shown that  
61 the El Niño impacts on US winter temperatures are different for the CP and EP types and  
62 that the typical warm-north, cold-south impact pattern is a mixture of the different  
63 impacts produced by the two types of El Niño. According to the studies, different  
64 temperature impacts are produced because different wave trains are excited in the  
65 extratropical atmosphere when the El Niño SST anomalies are located near the  
66 international dateline (CP type) as opposed to near the South American coast (EP type).  
67 The CP El Niño excites a wave train resembling the Pacific/North American (PNA;  
68 Wallace and Gutzler, 1981) pattern, while the EP El Niño excites a polarward wave train  
69 emanating straight out of the tropics into higher latitudes (see Fig. 5 of Yu et al. 2012b).  
70 The different wave train responses can also affect the locations and strengths of  
71 tropospheric jet streams that control the winter storm paths over the US. In this study, we  
72 conduct statistical analyses of reanalysis data, numerical experiments with a forced  
73 atmospheric general circulation model, and case studies of the major El Niño events since  
74 1948 to examine the impacts of the two types of El Niño on US winter precipitation.

75

## 76 **2. Data and Analysis Methods**

77           This study uses two data products for the analyses: SSTs from the National  
78 Oceanic and Atmospheric Administration (NOAA)'s Extended Reconstructed Sea  
79 Surface Temperature (ERSST) V3b dataset (Smith and Reynolds 2003) and precipitation  
80 and wind data from the National Centers for Environmental Prediction–National Center  
81 for Atmospheric Research (NCEP–NCAR) Reanalysis (Kistler et al. 2001). Monthly SST,

82 precipitation, and wind anomalies from 1948 to 2010 were analyzed. Anomalies are  
83 defined as deviations from the 1948-2010 climatology.

84

85 Monthly values of the EP Niño index and the CP El Niño index from Yu et al.  
86 (2012) were used to represent the intensities of the two types of El Niño. The indices  
87 were constructed from the monthly SST data using a regression- EOF analysis (Kao and  
88 Yu 2009; Yu and Kim 2010). In this method, the SST anomalies regressed with the  
89 Niño1+2 ( $0^{\circ}$ - $10^{\circ}$ S,  $80^{\circ}$ W- $90^{\circ}$ W) SST index were removed before the EOF analysis was  
90 applied to obtain the spatial pattern of the CP El Niño. The regression with the Niño1+2  
91 index was used as an estimate of the influence of the EP El Niño and was removed to  
92 better reveal the SST anomalies associated with the CP El Niño. Similarly, we subtracted  
93 the SST anomalies regressed with the Niño4 ( $5^{\circ}$ S- $5^{\circ}$ N,  $160^{\circ}$ E- $150^{\circ}$ W) index (i.e.,  
94 representing the influence of the CP El Niño) before the EOF analysis was applied to  
95 identify the leading structure of the EP El Niño. Figure 1 shows the leading EOF modes  
96 obtained from this analysis that exhibit the typical SST anomaly patterns of these two  
97 types of El Niño. For the EP El Niño (Figure 1a), the warm anomalies extend from the  
98 South American coast to the central Pacific. As for the CP El Niño (Figure 1b), the warm  
99 anomalies are confined in the tropical central Pacific near the international dateline. The  
100 associated principal components from these two leading EOF modes represent the  
101 strengths of these two types of El Niño and are defined as the CP El Niño index and the  
102 EP El Niño index, respectively.

103

104 We also conducted three ensemble forced experiments with an atmospheric  
105 general circulation model (AGCM) to contrast the impacts produced by the two types of

106 El Niño. The AGCM used is the Version 4 of the Community Atmosphere Model  
107 (CAM4) from the National Center for Atmospheric Research. The three experiments  
108 include a control run, an EP run, and a CP run. In the control run, climatological,  
109 annually-cycled SSTs (calculated from 1948-2010) are used as the boundary condition to  
110 force CAM4. For the EP (CP) run, the CAM4 is forced by SSTs constructed by adding  
111 together the climatological SSTs and SST anomalies associated with the EP (CP) El Niño.  
112 The SST anomalies used in the latter two experiments are constructed by regressing  
113 tropical Pacific anomalies with the EP and CP El Niño indices and then scaling them to  
114 typical El Niño magnitudes. For each of the runs, a 10-member ensemble of 22-month-  
115 long integrations was conducted with the El Niño SST anomalies evolving through a  
116 developing phase, peak phase, and decaying phase. The peak phases of the SST  
117 anomalies were placed in December of Year 1 for each member.

118

### 119 **3. Results**

120 We first regressed US winter (January-February-March; JFM) precipitation  
121 anomalies to the EP and CP El Niño indices to identify the impact patterns. The  
122 regression coefficients with the US winter precipitation are displayed in Figures 2a-b,  
123 with the hatches indicating the data points where the coefficients pass the student-t test at  
124 the 90% significance level. The figures show that both types of El Niño produce a dry-  
125 north, wet-south anomaly pattern, similar to the seesaw pattern that has traditionally been  
126 used to describe the El Niño impacts on US winter precipitation. The dry and wet  
127 anomalies are largely along the eastern and western sea boards, with the dry anomalies  
128 located mostly over the Pacific Northwest and the Great Lakes regions and the wet  
129 anomalies located over the Southwest and the Southeast. However, the intensity and the

130 area coverage of the dry and wet anomalies are noticeably different between the two  
131 types. The dry anomalies produced by the CP El Niño are of larger magnitudes and cover  
132 larger areas than those produced by the EP El Niño. The areas of dry anomalies expand  
133 southward to a greater extent during CP El Niños than during EP El Niños. For example,  
134 the dry anomalies cover only the Great Lakes region during EP El Niños, but extend  
135 southwestward through the Ohio-Mississippi Valley toward the Gulf Coast during CP El  
136 Niños. In contrast, the wet anomalies tend to have smaller magnitudes during CP El  
137 Niños than during the EP El Niños—a phenomenon that appears most obviously over the  
138 Southeast US. Figures 2a-b indicate that the CP El Niño tends to intensify the dry  
139 anomalies but weaken the wet anomalies of the impact pattern produced by the EP El  
140 Niño. This important difference is clearly revealed in Figure 2c, where the precipitation  
141 anomalies regressed with the EP El Niño were subtracted from the anomalies regressed  
142 with the CP El Niño (i.e., Figure 2b minus Figure 2a). Figure 2c shows negative  
143 differences over most of the US, excluding the southern portion of the Southwest where  
144 positive differences exist. The negative values in Figure 2c indicate that a shift in El Niño  
145 from the EP type to the CP type makes the dry anomalies over the Pacific Northwest and  
146 along the Ohio-Mississippi Valley drier and the wet anomalies over the Southeast less  
147 wet. Southern California and Arizona are the only regions where the CP El Niño makes  
148 the winter climate wetter than during the EP El Niño events. Overall, the regression  
149 analyses reveal that the CP type of El Niño enhances the drying effect of El Niño on US  
150 winter precipitation.

151

152 To further confirm the different impacts produced by the two types of El Niño, we  
153 examined US winter precipitation anomalies during individual EP and CP El Niño years

154 over the following four regions: the Pacific Northwest, Ohio-Mississippi Valley,  
155 Southeast, and Southwest. Yu et al. (2012b) have identified twenty-one major El Niño  
156 events during 1948-2010 using the Ocean Niño Index and have determined the types of  
157 these events based on the consensus of three different identification methods (Kao and Yu  
158 2009, Yeh et al. 2009, and Ashok et al. 2007). According to their Table 1, eight of the  
159 twenty-one El Niño events are of the EP type (1951-52, 1969-70, 1972-73, 1976-77,  
160 1982-83, 1986-87, 1997-98, and 2006-07) while the other thirteen are of the CP type  
161 (1953-54, 1957-58, 1958-59, 1963-64, 1965-66, 1968-69, 1977-78, 1987-88, 1991-92,  
162 1994-95, 2002-03, 2004-05, and 2009-10). Figures 2g-i show the US winter precipitation  
163 anomalies composited from these two groups of El Niño events. The dry and wet  
164 anomalies produced by these El Niño composites are similar, in general, to the regression  
165 results shown in Figs. 2a-c that include not only El Niño but also La Niña impacts on US.  
166 The composites show dry-north, wet-south patterns for the both types of El Niño, but  
167 with the dry anomalies intensified in the CP El Niño composite over the Pacific  
168 Northwest and the Ohio-Mississippi Valley and the wet anomalies weakened over the  
169 Southeast US.

170

171 We then examine in Figure 3 the winter precipitation anomalies in each of these  
172 two groups of El Niño events over the four US regions. The specific grid points used in  
173 the averages for each of the regions are indicated in the figure. These points were selected  
174 from Figure 2 based on the precipitation anomaly centers associated with the both types  
175 of El Niño. The stronger drying effect of the CP El Niño over the Pacific Northwest is  
176 obvious in Figure 3, which shows a mean precipitation anomaly of -4.3 mm/day for the  
177 CP El Niño years but a mean of +1.5 mm/day for the EP El Niño years. Negative

178 anomalies also tend to occur over the Pacific Northwest more consistently during the CP  
179 El Niño years (i.e., 10 out of 13 events; 77%) than during the EP El Niño years (i.e., 4 out  
180 of 8 events; 50%). This enhanced drying tendency is also very obvious in the Ohio-  
181 Mississippi Valley. During eleven of the thirteen CP El Niño years (i.e., 85%), the winter  
182 precipitation anomalies over this region are below normal, but the percentage drops to  
183 five out of eight (63%) for the EP El Niño years. The mean precipitation anomalies also  
184 change from -10.3 mm/day during the CP El Niño group to +0.8 mm/day for the EP El  
185 Niño group. Over the Southeast, both types of El Niño produce wet anomalies; however  
186 the precipitation anomalies are very large (with a mean value of +12.4 mm/day) during  
187 the EP El Niño winters, but are consistently small during the CP El Niño winters (with a  
188 mean value of +4.2 mm/day). This is consistent with the conclusion we draw from Figure  
189 2 that the wet anomalies produced by El Niño over the Southeast are weaker during the  
190 CP type than during the EP type. Over the Southwest region, positive precipitation  
191 anomalies occurred during nine out of the thirteen CP El Niño years (i.e., 69%) and  
192 during five out of eight EP El Niño years (62%). The mean precipitation anomalies are  
193 +6.6 mm/day for the EP El Niño group and +6.7 mm/day for the CP El Niño group. There  
194 are indications of a stronger wetting effect produced by the CP El Niño than the EP El  
195 Niño, but the differences are not as significant as those found in the other three regions.

196

197 Winter precipitation over the US is primarily associated with winter storms,  
198 whose paths across the US are controlled by the locations of tropospheric jet streams. The  
199 climatological locations of the jet streams in the winter can be identified by the local  
200 maxima in the mean zonal winds at 300mb ( $U_{300mb}$ ), as shown in Figure 4a. The figure  
201 shows that there is a double-jet feature over the west coast that merges into a single-jet

202 over the East Coast (indicated by the black bold lines in the figure). We then separately  
203 regressed winter  $U_{300\text{mb}}$  anomalies onto the EP and CP El Niño indices in Figures 4b and  
204 4c to examine how the jet streams respond to El Niño. The mean locations of the polar  
205 and subtropical jet streams identified in Figure 4a are superimposed on Figures 4b-c to  
206 aid the examination of the jet stream variations. Figures 4b and 4c indicate that the jet  
207 streams shift southward during both types of El Niño, with large negative wind anomalies  
208 in the northern US and large positive wind anomalies in the south. Previous studies have  
209 suggested that such an equator-ward shift of the tropospheric jet streams during El Niño  
210 events result from El Niño-induced Rossby wave trains and strengthening of the Hadley  
211 circulation (e.g., Wang and Fu 2000; Seager et al. 2003; Lu et al. 2008). As the jet  
212 streams shift southward, winter storms shift south with them, leading to a dry-north, wet-  
213 south pattern of precipitation anomalies during the El Niño. However, we find from  
214 Figure 4 that the jet streams are displaced more southward during CP El Niños than  
215 during EP El Niños. Off the West Coast, for example, the weakening of the zonal winds  
216 in the north and the strengthening of the winds in the south are centered, respectively, at  
217  $55^{\circ}\text{N}$  and  $30^{\circ}\text{N}$  for the EP El Niño, but at  $45^{\circ}\text{N}$  and  $20^{\circ}\text{N}$  for the CP El Niño. The more  
218 southward displacements of the jet streams explain why the dry anomalies over the  
219 northern US (including the Northwest and Ohio-Mississippi Valley) expand and  
220 strengthen more significantly during CP El Niños than during EP El Niños. Similarly, the  
221 wet anomalies over the southern US expand over the Southwest and extend into the  
222 Mexico during the CP El Niño. However, the same southward displacements over the  
223 East Coast push the core of the subtropical jet stream (and therefore the storm tracks) out  
224 of the US continent and into the Gulf and Caribbean, which results in only a small area of  
225 wet anomalies left in the Southeast US during the CP El Niño.

226

227           To further verify the different impacts of the two types of El Niño, we contrast in  
228 Figures 2d-f the US winter precipitation anomalies calculated from the three forced  
229 AGCM ensemble experiments. The impacts produced by the EP and CP types of El Niño  
230 on the US winter precipitation were identified by subtracting the ensemble mean of the  
231 control run from the ensemble means of the EP and CP runs. It is encouraging to find that  
232 the CAM4 experiments reproduce the major findings obtained from the regression  
233 analyses (c.f., Figures 2a-c): the CP El Niño enhances the dry impacts and weakens the  
234 wet impacts on US winter, except over the Southwest. Compared to the EP run, the CP  
235 run produces stronger dry anomalies over the US Northwest and Ohio-Mississippi Valley  
236 and weaker wet anomalies over the Southeast. It is particularly interesting to note that the  
237 CP run reproduces the strong dry anomalies along the Ohio-Mississippi Valley previously  
238 revealed in the analysis of NCEP-NCAR reanalysis (cf. Figures. 2e and 2b). The  
239 tendency toward wetter anomalies over the Southwest during the CP El Niño is more  
240 evident in the forced CAM4 experiments than in the regression results. We also examined  
241 the 300mb zonal wind ( $U_{300mb}$ ) anomalies from the forced AGCM experiments (shown in  
242 Figs. 4e-f) and noted that similar southward shift of the jet streams during the two types  
243 of El Niño can be seen in these model results. Particularly, the jet streams in the CP El  
244 Niño run displace more southward over the eastern half of the US than in the EP El Niño  
245 run, which is consistent with the result obtained from the regression analysis with the  
246 reanalysis product. It should be noted that the model zonal wind anomalies are calculated  
247 by subtracting the ensemble-mean produced by the control run from the ensemble means  
248 produced by the EP and CP run. The  $U_{300mb}$  climatology produced by the CAM4 model  
249 over the US is reasonably realistic (Fig. 4d).

250

## 251 **4. Conclusions**

252         We performed analyses with reanalysis products and numerical experiments to  
253 show that the recently-emerged CP type of El Niño can enhance the dry impacts and  
254 weaken the wet impacts produced by the traditional EP type of El Niño on US winter  
255 precipitations. While both types of El Niño shift the jet streams southward from their  
256 climatological winter locations over the US, the shift is larger during the CP El Niño.  
257 Since the paths that winter storm moves over the US continent are steered by the jet  
258 streams, the more southward shift of the jet streams explains why the dry anomalies that  
259 El Niño typically produced over the Pacific Northwest and Ohio-Mississippi Valley  
260 expand their covering areas and increase their intensities during the CP El Niño. The  
261 more southward shifts of the jet streams are supposed to increase the storm activities and  
262 the winter precipitations over the Southwest and Southeast. However, the core of the jet  
263 streams along the eastern US moves to the Gulf during the CP El Niño and reduces the  
264 land area of wet anomalies over the US Southeast. The Southern end of the Southwest is  
265 the only region of the US that is exempted from the drying effect produced by El Niño  
266 when it shifts from the EP type to the CP type.

267

268         One major implication from this study is that droughts occurred in the Ohio-  
269 Mississippi Valley and Pacific Northwest during El Niño years may be intensified after  
270 the El Niño becomes more of the CP types, and the Southeast cannot expect as much  
271 supply of winter precipitations during El Niño years as in the past. At the same time, the  
272 Southwest should prepare for more severe flooding events during CP El Niño years.  
273 Another major implication from this study is that the shift of the El Niño from the EP

274 type to the CP El Niño in recent decades may have produced a net drying effect on the  
275 US winter precipitations, except over the Southwest. Since the CP El Niño is suggested to  
276 occur more frequently in the recent decades, particularly after the 1990, its possible  
277 linkage with the extended US drought since the 1990s deserves further investigations.

278  
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374 **List of Figures**

375 Figure 1. EOF patterns of sea surface temperature anomalies obtained from a regression-  
376 EOF method for: (a) the EP type of El Niño and (b) the CP type of El Niño.

377

378 Figure 2. US winter (January-February-March; JFM) precipitation anomalies associated  
379 with the El Niño are shown in the top panels for the EP El Niño, in the middle panels for  
380 the CP El Niño, and in the bottom panels for the difference between the two types of El  
381 Niño (i.e., CP impact minus EP impact). The values shown in the left column (a-c) are  
382 obtained by regressing US winter precipitation anomalies to the EP and El Niño index.  
383 The values shown in the second column (d-f) are calculated by subtracting the ensemble-  
384 mean winter precipitation of the forced AGCM experiments from the ensemble means of  
385 the EP and CP runs. The values shown in the right column (g-i) are obtained by  
386 compositing major EP and CP El Niño events that have occurred since 1950. Values  
387 shown are in units of mm/day, and areas passed 90% significance test using a student-t  
388 test are hatched.

389

390 Figure 3. Winter precipitation anomalies averaged separately for the four selected US  
391 regions during the 8 major EP El Niño years and the 13 CP El Niño events. The mean  
392 anomalies averaged over the EP or CP El Niño events are also shown in the panels in unit  
393 of mm/day.

394

395 Figure 4. 300mb zonal winds ( $U_{300mb}$ ) from NCEP/NCAR reanalysis averaged during the  
396 winter season (JFM) from 1948 to 2010 (a), and the anomalies regressed to (b) the EP El  
397 Niño index and (c) the CP El Niño index. Panels d-f show, respectively, the ensemble-

398 mean winter  $U_{300\text{mb}}$  produced by the control run of the forced AGCM experiment, the  
399  $U_{300\text{mb}}$  differences between the EP El Niño run and the control run, and the differences  
400 between the CP El Niño run and the control run. The climatological locations of  
401 tropospheric jet streams are indicated by black bold lines along the local maxima of  
402  $U_{300\text{mb}}$ . Units shown are in units of m/s.

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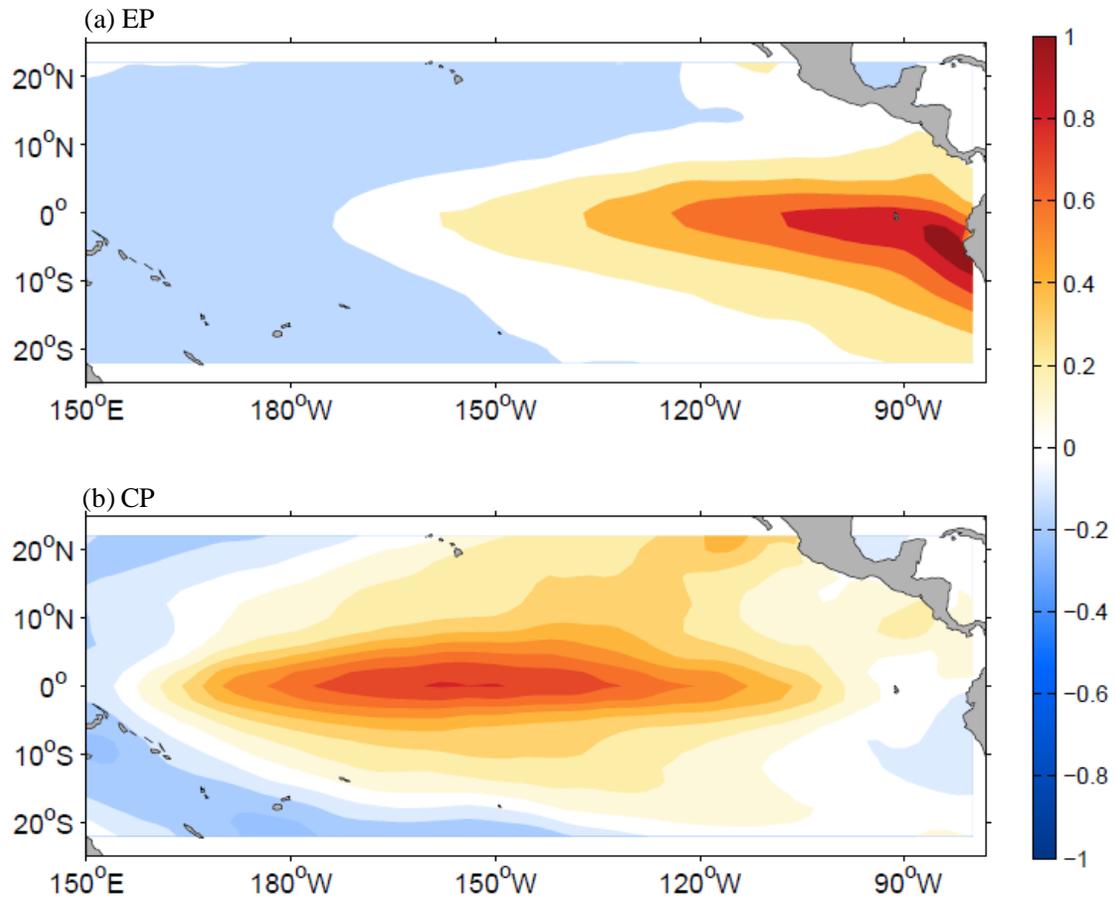
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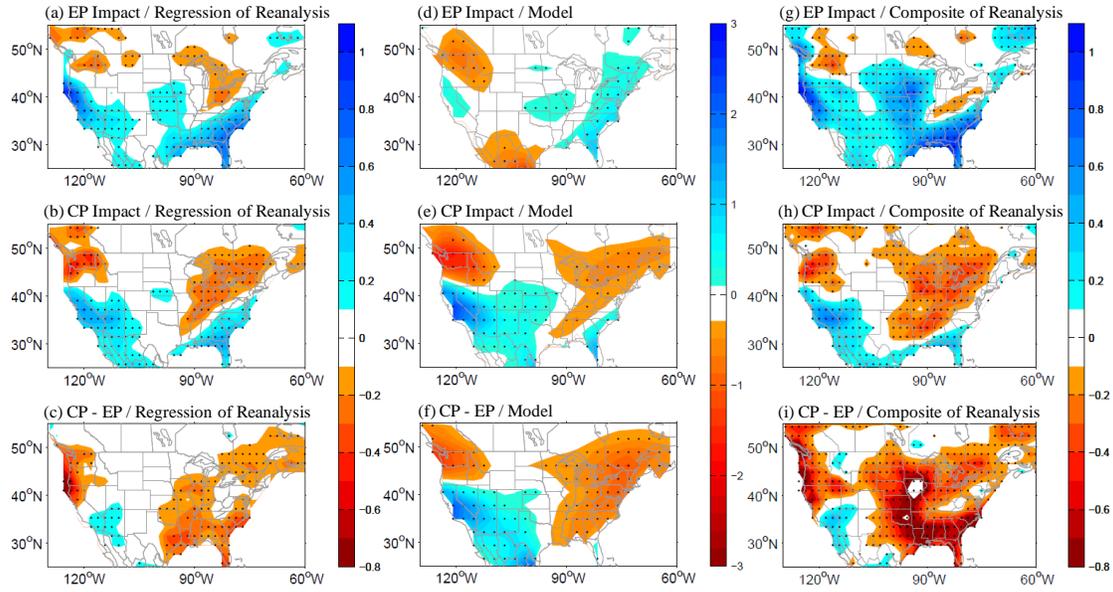
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412 Figure 1. EOF patterns of sea surface temperature anomalies obtained from a regression-

413 EOF method for: (a) the EP type of El Niño and (b) the CP type of El Niño.

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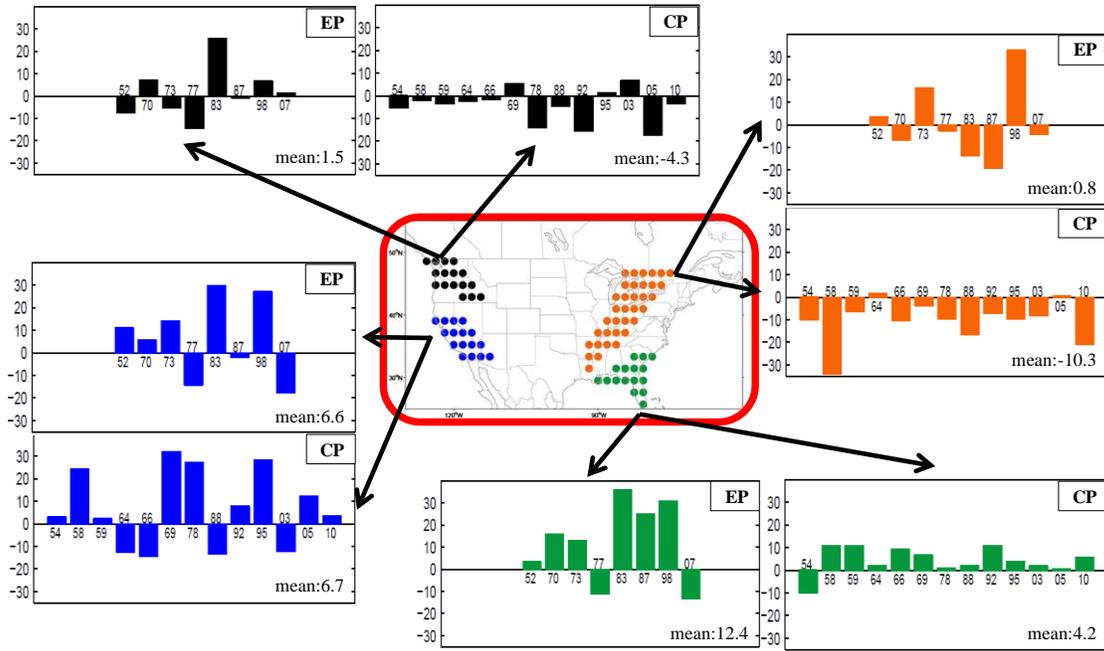


416

417 Figure 2. US winter (January-February-March; JFM) precipitation anomalies associated  
 418 with the El Niño are shown in the top panels for the EP El Niño, in the middle panels for  
 419 the CP El Niño, and in the bottom panels for the difference between the two types of El  
 420 Niño (i.e., CP impact minus EP impact). The values shown in the left column (a-c) are  
 421 obtained by regressing US winter precipitation anomalies to the EP and El Niño index.  
 422 The values shown in the second column (d-f) are calculated by subtracting the ensemble-  
 423 mean winter precipitation of the forced AGCM experiments from the ensemble means of  
 424 the EP and CP runs. The values shown in the right column (g-i) are obtained by  
 425 compositing major EP and CP El Niño events that have occurred since 1950. Values  
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 433 anomalies averaged over the EP or CP El Niño events are also shown in the panels in unit  
 434 of mm/day.

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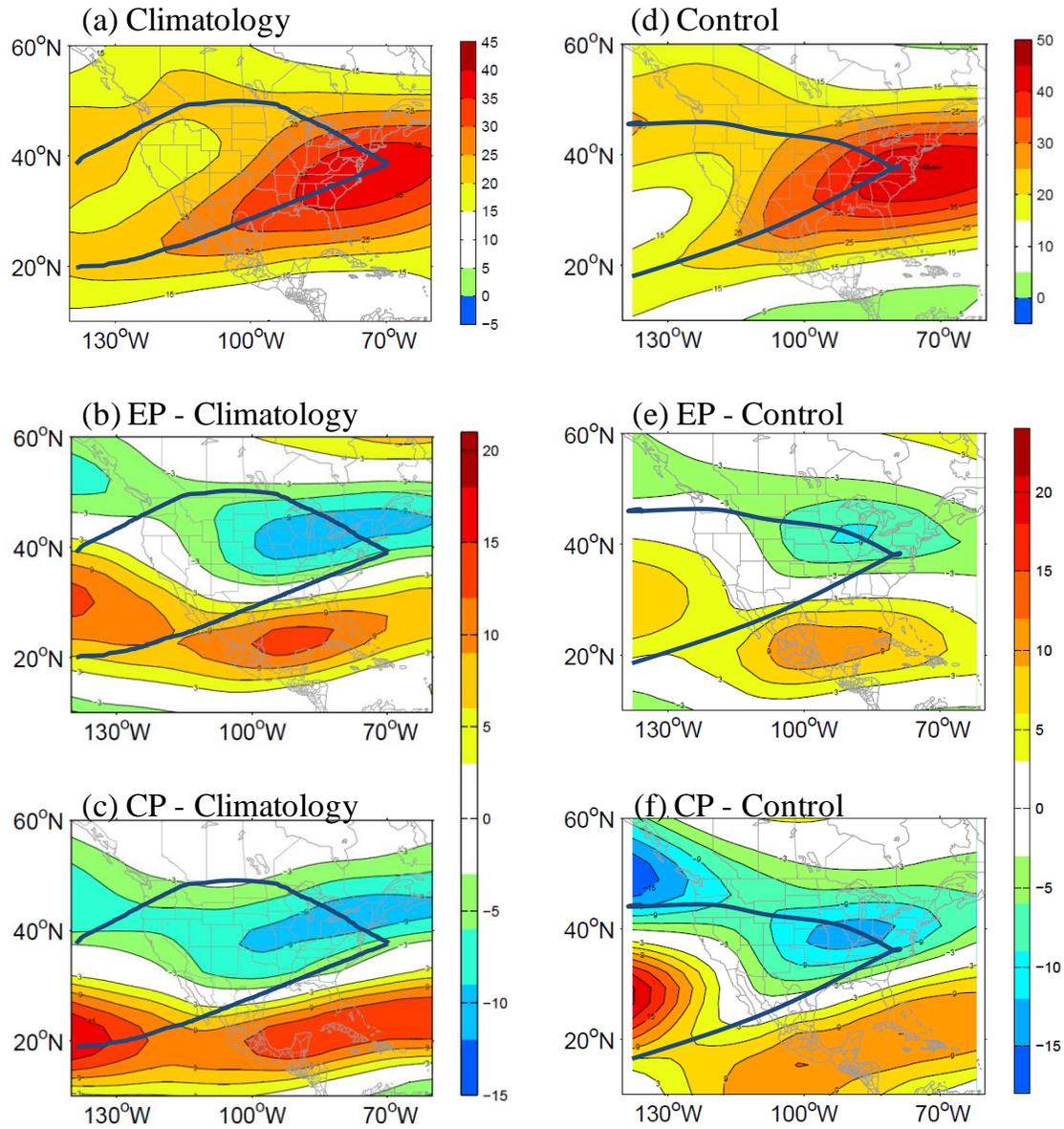
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445 Figure 4. 300mb zonal winds ( $U_{300\text{mb}}$ ) from NCEP/NCAR reanalysis averaged during the  
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 449  $U_{300\text{mb}}$  differences between the EP El Niño run and the control run, and the differences  
 450 between the CP El Niño run and the control run. The climatological locations of

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452  $U_{300\text{mb}}$ . Units shown are in units of m/s.

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